

NWIFCA COMPLIANCE AND ENFORCEMENT STRATEGY

Purpose of the Report:

To consider and approve a compliance and enforcement strategy for the NWIFCA

Recommendation:

That the strategy be approved and published on the NWIFCA website

Background

1. The NWIFCA has a duty as an enforcement body to publish an enforcement strategy so that those regulated have an understanding of how the NWIFCA will deliver its compliance and enforcement responsibilities.
2. The strategy, if approved will meet the High level Objective of the NWIFCA set by Defra to have a strategy in place which meets the Regulator's Compliance code and to have it published on the NWIFCA website by April 2012. A similar action is in the NWIFCA operational plan for 2011-12.
3. The attached document closely follows the format used by MMO for their Compliance and Enforcement strategy. It is modified to take account of the slightly different powers and duties of the NWIFCA compared to the MMO.
4. The strategy is presented to the Authority for discussion and approval. After the plan is approved it will be placed on the NWIFCA website.

Chief Executive
21 February 2012